R20.67   SRI Approaches to Registration Assessments

The following approaches are among those accepted by one or more of SRI's accreditation bodies as appropriate assessment techniques for achieving SRI accredited registration to various international standards. These approaches may not apply to all standards[1], accreditation bodies, companies, or all situations. Accordingly, this description is provided only for your general understanding. The definition of a "site" (as used in each approach) must be determined with regard to specific organizational characteristics and must have agreement by SRI. Please contact SRI for more detail.

Registration Approach[1]:	Site Approaches		Multiple Site Approaches		
	Single Site	**Site with Locations**	**Multiple Sites**	**Corporate / Corporate Progressive**	**Corporate Sampling**
Description:	One organization that operates at one address	One organization that operates at more than one address Physical locations must be close enough for one management team to feasibly operate If controls and management system are virtual (e.g. computer system with remote login), geographic location is flexible Employees that work via remote access are counted within the scope	One or more legal organizations operating at more than one address Centralized function per MD 1, section 5.2-5.6. Can include a diverse network of dissimilar processes	One or more legal organizations operating at more than one address Central controls at HQ	One or more legal organizations operating at more than one address Central controls at HQ Includes largely similar activities per MD 1
Eligibility Criteria:	Stand-alone / Self supporting One legal entity Multiple DBAs allowed	Stand-alone / Self supporting One legal entity Multiple DBAs allowed	All locations have a legal or contractual link to the location with management system central functions Central function has authority to direct action at all locations listed on the certificate Central function fulfills requirements per MD 1, section 5.2-5.6	One location designated as HQ All locations have a legal or contractual link to the HQ Management system centralized functions at HQ (management review, internal audit planning, corrective action, document control)	One location designated as HQ All locations have a legal or contractual link to the HQ Management system centralized functions at HQ (management review, internal audit planning, corrective action, document control)

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Registration Approach[1]:	Site Approaches		Multiple Site Approaches		
	Single Site	**Site with Locations**	**Multiple Sites**	**Corporate / Corporate Progressive**	**Corporate Sampling**
			Other shared functions (e.g. design or contract review) at one address or more address (can be a different address than the centralized management functions)	HQ has authority to direct action at all locations listed on the certificate	HQ has authority to direct action at all locations listed on the certificate One or more sampling pools, based on similarity of products / processes per MD 1, section 6.1
Management:	One management team	One management team	One or more management teams Management at Central Function has responsibility and authority in specific areas, per MD 1, sections 5.2-5.6	One or more management teams Management team at HQ has overall responsibility and authority	One or more management teams Management team at HQ has overall responsibility and authority
Documented Information:	Single set of management system documentation (policy, procedure, instructions, etc.)	Single set of management system documentation (policy, procedure, instructions, etc.)	Single set of management system documentation (policy, procedure, instructions, etc.) for central functions Site specific documented information is allowed Locations may have a wide variety of key processes	Single set of management system documentation (policy, procedure, for HQ and all locations Has common key process definitions / sequence (All key processes may not be at all locations)	Single set of management system documentation (policy, procedure, for HQ and all locations Has common key process definitions / sequence (All key processes may not be at all locations) Similar set of operational control criteria within each sampling pool
Certificate Issuance:	One certificate, listing single address	One certificate, listing all physical addresses Virtual locations that have physical infrastructure (e.g. remote data center) can be included on certificate Non-business addresses are not shown on the certificate (e.g., work from home)	One certificate, listing all included addresses included in the multi-site scope	One certificate, listing all included addresses Can certify all at once or use a Corporate Progressive approach to add locations over time	One certificate, listing all included addresses When sites are added to the sampling pool, the sampling plan is updated prior to adding sites to the certificate

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	Site Approaches		Multiple Site Approaches		
Registration Approach[1]:	**Single Site**	**Site with Locations**	**Multiple Sites**	**Corporate / Corporate Progressive**	**Corporate Sampling**
Certificate Status:	Impacts entire site	Impacts entire site and all its addresses	Impacts all locations within the multi-site certificate	Impacts all locations within the corporate certificate	Impacts all locations within the corporate sampling certificate
Certificate Scope:	One scope describing all included activities / products	One scope describing all included activities / products	One scope per address\n\nScope at each address may be common and or broken into sub-scopes, depending on site specific conditions	One scope per address\n\nScope at each address may be common and or broken into sub-scopes, depending on site specific conditions	One scope per address\n\nScope at each address may be common and or broken into sub-scopes, depending on site specific conditions
Certificate Cycle:	One three-year cycle	One three-year cycle	One or more three-year cycles\n\nEach address may have different start and end date\n\nIf integrated, same start and end date of each standard at each address	One or more three-year cycles\n\nEach address may have different start and end date\n\nIf integrated, same start and end date of each standard at each address	One three-year cycle
*Integration Allowed:	Yes	Yes	Yes	Yes	Yes

*Not allowed if specified in scheme specific rules.

[1] [For IATF 16949, "Corporate" relates to the quoting scheme only. Each value-added site is issued a separate report and separate certificate. Each value-added site under a Corporate Scheme is handled as under a site registration. All processes/ clauses are audited at each value-added site.]

[1] [For AS9100/9120, refer to AS9104/1 for certification structure i.e., single site, multiple site, campus, several sites, complex organization.]

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