 **R20.28FSMS SRI Registration Survey for ISO 22000**

 **or FSSC 22000**

This survey is to be used to obtain a cost proposal for management system registration services by SRI.

1. **Company Information**
2. Company Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Proposal to be issued to: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Same address as above? Yes \_\_\_\_ No \_\_\_\_\_ If No, please provide mailing address.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail Address: Web Site Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone: Fax: \_

1. List the organization’s primary IAF Code: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Primary language(s) spoken other than English \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Is your company a subsidiary or division of another organization? Yes \_\_\_\_ No \_\_\_\_\_
4. If yes, what organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Relationship? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Is SRI the registrar for the related organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
7. Describe your business: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
8. **Standard/Scheduling**
9.  No, we are not currently registered to a management standard.

 \*Yes, we are currently registered to the following standard or GFSI recognized scheme(s) (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) and would like to consider transferring to SRI. Our current Registrar is: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* + When is the next scheduled audit activity with your current Registrar? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
	+ What type of audit is it? (Surveillance, renewal, etc.) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 \*(Please provide a copy of your current certificate with this survey)

1. Which of the standard(s) (most current edition) are you interested in being certified to (check all that apply)

|  |  |
| --- | --- |
|  ISO 22000:2018 or  ISO 22000:2005 |  FSSC 22000 |
|  ISO 9001 (separate certificate)  Design\*  No Design  PED\*\* (Pressure Equipment Directive) |
| \*\* PED - Visit the SRI website for additional information.Deadline date, related to transition, for ISO 22000, all initial audits to 2018 version is required after 06/29/2020 |

1. We recommend a Pre-Assessment Audit by SRI. Do your plans include one? Yes \_\_\_\_ No \_\_\_\_\_
2. If yes, how many Pre-Assessment mandays do you want? \_\_\_\_\_\_\_\_\_\_\_ When? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Which accreditation mark(s) do you prefer (ANAB and/or Foundation)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
	* ANAB (available for both ISO 22000 and FSSC 22000)
	* Foundation for Food Safety Certification “Foundation” (FSSC 22000 only)
4. **Registration Approach** -
5. Which of the following audit approaches would you like proposed?

|  |  |
| --- | --- |
|  | “**Site**” (a stand alone location **OR** multiple locations in close proximity to one another, operating and addressing all |
|  | requirements of the standard collectively and issued one certificate.) |
|  | **Multi-site**” (an organization with multiple sites conducting similar operations, similar scopes, operating “under the |
|  | same management manual and centrally managed. Issued one certificate listing all locations. This approach must include all addresses where FSSC scheme requirements are fulfilled.) |
|  |  |
|  | “**Corporate**\*” (all sites, regardless of proximity, are audited collectively and are under one certificate. If the |
|  | scope/product/processes differ, separate scopes will be required.) This approach must include all addresses where FSSC scheme requirements are fulfilled.  |
|  | “**Progressive**\*” (each site audited separately then added to the corporate certificate, when registered. If the |
|  | scope/product/processes differ, separate scopes will be required.) This approach must include all addresses where FSSC scheme requirements are fulfilled.  |

Please complete the following table identifying the site(s) to be registered. Please specify the Standard and the products and/or services to be registered at each site (add attachments if additional space is needed) **Note**: If the site has different addresses for buildings (located on property) indicate such below:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Location and Organization (Sites) including addresses for all buildings, etc.** | **No. of Employees (incl. temps)** | **For HQ: central functions performed.****For Production Sites: Products and/or Services, process lines, HACCP studies** | **Standard** | **No. of Shifts** | **Shift Time(s)** |
| \*Head-quarters: |  |  |  |  |  |  |
| Main Production Site: |  |  |  |  |  |  |
| Additional Production Site: |  |  |  |  |  |  |
| Additional Production Site: |  |  |  |  |  |  |

\*This location must be included if it fulfills any scheme requirements. It can be a corporate headquarters, or any other address separate from the manufacturing site(s) where central activities are performed (e.g., supplier selection, contract acceptance, policy making, etc.)

1. Are there any exclusions from the scope of certification (i.e., location, product, service)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
	1. Any exclusions for FSSC 22000 should be indicated as per ISO/TS 22002-1, 1. Scope, para 4:
		1. rework
		2. product recall procedures
		3. warehousing, product information and consumer awareness
		4. food defence, biovigilance and bioterrorism
2. If multiple sites (Corporate), are your level 1 & 2 manuals (documentation) the same for all sites? Yes \_\_\_\_ No \_\_\_\_\_
3. Do you have a "Remote" support site where; contract review, design, and/or purchasing etc. is located? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Desired "scope of registration" as it is to appear on the certificate of registration. You must consider the product and/or services in the scope when those processes, products, or services have an influence on the safety and quality of the product.

 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. In the event that you register to ISO 22000 and/or want a PED certificate, an ISO 9001 supplemental certificate may be requested (required for PED\*\*). Do you required a supplemental certificate? Yes \_\_\_\_ No \_\_\_\_\_
2. What is your target date for registration? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Are there any activities associated with the FSMS that are conducted outside of the organization or subcontracted activities on-site \_\_\_\_ or off-site \_\_\_\_?

What Activities and Where? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Describe the FSMS risks, and provide a copy of your HACCP study or studies, risk assessment and or risk control determination. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Describe the workplace situations, nature and significance of the identified hazards.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Company Property: \_\_\_\_\_ Owned \_\_\_\_\_ Leased \_\_\_\_\_\_\_\_\_\_ Sq. Ft. or \_\_\_\_\_\_\_\_\_\_\_ Acres
2. If new (no current FSMS in place), provide a copy of your: initial review, legislative and regulatory requirements; Identification of HACCP Principles, PRP's and OPRP's; Examination of existing Good Management Practices; evaluation of interactive communication.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Describe any worker personal protection required: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Provide a copy of your FSMS objectives: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. How many HACCP studies are performed? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. How many process lines are included?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Please see the Table One on the last page. Which food chain category(s) [by category code(s)] applies to your organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Are you ITAR responsible? Yes \_\_\_\_ No \_\_\_\_\_ Classified material or export control requirements related to SRI access, must be disclosed. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
7. SRI auditors may not be U.S. citizens. Does your organization restrict foreign national entry to its site(s)?

Yes \_\_\_\_ No \_\_\_\_\_ Please describe these restrictions. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Other Management Systems**
2. Are you certified to other Management System Standards, e.g., ISO 9001, AS9100, ISO/IEC 27001?

Yes \_\_\_\_ No \_\_\_\_\_ If yes, which Standard(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 If not, would you like to discuss other certifications with SRI? Yes \_\_\_\_ No \_\_\_\_\_

1. Have you developed an integrated system? Yes \_\_\_\_ No \_\_\_\_ If yes, which Standards are integrated (complete lines 3 to 8 of this section D)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Does the manual include/meet all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
3. Do internal audits address requirements of all standards/conducted in a combined effort? Yes \_\_\_ No \_\_\_\_
4. Do management reviews address required inputs & outputs from all Standards? Yes \_\_\_\_ No \_\_\_\_\_
5. Are the required procedures common to all Standards? (doc & record control, training) Yes \_\_\_\_ No \_\_\_\_\_
6. Is the corrective and preventive action system common to all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
7. Is the Management Rep the same for all Standards? Yes \_\_\_\_ No \_\_\_\_\_

If the answer to all the above is yes, your system is integrated, and SRI will quote accordingly. Depending on the systems that are integrated, other information may be required. SRI will advise.

1. **For Our Information**
2. Does your company belong to any professional industry organization(s)? Yes \_\_\_\_ No \_\_\_\_\_
	1. If yes, what association(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. How did you hear about SRI? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Indicate any processes outsourced. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Indicate the name of your Consultant. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Indicate any relevant legal obligations. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

This survey is provided to assist SRI Quality System Registrar in defining the scope of registration and preparing a cost proposal. The receipt of this survey by SRI does not acknowledge our acceptance and/or approval of any aspect of possible registration.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Respondent’s Signature  |  | Title |  | Date |

*Thank you for completing this survey for a cost proposal. Please return to SRI via mail, e-mail, or facsimile.*

**SRI Quality System Registrar**

**300 Northpointe Circle, Suite 304 ● Seven Fields, PA 16046**

**Tel.: 724-934-9000 ● Fax: 724-935-6825 ●** survey\_info@SRIRegistrar.com

**Table 1 – refer to question 17 above**

|  |  |  |
| --- | --- | --- |
| **Category** | **Subcategory** | **Examples of included activities** |
| **A**. Farming of Animals | **AI** | Farming of Animals for Meat/ Milk/ Eggs/ Honey | Raising animals (other than fish and seafood) used for meat production, egg production, milk production or honey productionAssociated farm packing and storage |
| **AII** | Farming of Fish and Seafood | Raising fish and seafood used for meat productionAssociated farm packing and storage |
| **B**. Farming of Plants | **BI** | Farming of Plants (other than grains and pulses) | Growing or harvesting of plants (other than grains and pulses): horticultural products (fruits, vegetables, spices, mushrooms, etc.)Associated farm packing and storage |
| **BII** | Farming of Grains and Pulses | Growing or harvesting of grains and pulses for foodAssociated farm packing and storage |
| **C.** Food Manufacturing | **CI** | Processing of perishable animal products | Production of animal products including fish and seafood, meat, eggs, dairy and fish products |
| **CII** | Processing of perishable plant products | Production of plant products including fruits and fresh juices, vegetables, grains, nuts, and pulses |
| **CIII** | Processing of perish- able animal and plant products (mixed products) | Production of mixed animal and plant products including pizza, lasagna, sandwich, dumpling, ready- to-eat meals |
| **CIV** | Processing of ambient stable products | Production of food products from any source that are stored and sold at ambient temperature, including canned foods, biscuits, snacks, oil, drinking water, beverages, pasta, flour, sugar, food-grade salt |
| **D.** Animal Feed Production | **DI** | Production of Feed | Production of feed from a single or mixed food source, intended for food-producing animals |
| **DII** | Production of Pet Food | Production of feed from a single or mixed food source, intended for non-food producing animals |
| **E**. Catering | Preparation, storage and, where appropriate, delivery of food for consumption, at the place of preparation or at a satellite unit |
| **F.** Distribution | **FI** | Retail / Wholesale | Provision of finished food products to a customer (retail outlets, shops, wholesalers) |
| **FII** | Food Broking / Trading | Buying and selling food products on its own account or as an agent for others and or associated packaging |
| **G**. Provision of Transport and Storage Services | **GI** | Provision of Transport and Storage Services for Perishable Food and Feed | Storage facilities and distribution vehicles for the storage and transport of perishable food and feedAssociated packaging |
| **GII** | Provision of Transport and Storage Servicesfor Ambient Stable Food and Feed | Storage facilities and distribution vehicles for the storage and transport of ambient stable food and feedAssociated packaging  |
| **H**. Services | Provision of services related to the safe production of food, including water supply, pest control, cleaning services, waste disposal. |
| **I.** Production of Food Packaging and Packaging Material | Production of food packaging material |
| **J**. Equipment manufacturing | Production and development of food processing equipment and vending machines |
| **K**. Production of (Bio) Chemicals | Production of food and feed additives, vitamins, minerals, bio-cultures, flavorings, enzymes and processing aidsPesticides, drugs, fertilizers, cleaning agents |