**R20.28AS SRI Registration Survey for AS9100 and AS9120**

This survey is to be used to obtain a cost proposal for management system registration services by SRI.

1. **Company Information**
   1. Company Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
   2. Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. Proposal to be issued to: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Same address as above? Yes □ No □ If No, please provide mailing address.

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E-mail Address: Web Site Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone: Fax: \_

* 1. List the organization’s primary IAF Code: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  2. Primary language(s) spoken other than English \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  3. Total Number of Employees, including temps, at site(s) to be registered: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  4. Is your company a subsidiary or division of another organization? Yes □ No □

1. If yes, what organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Relationship? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Is SRI the registrar for the related organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
   1. Describe your business: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. **Standard/Scheduling**
5. □ No, we are not currently registered to a management standard.

□ \*Yes, we are currently registered to the following standard (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) and would like to consider transferring to SRI. Our current Registrar is: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. When is the next scheduled audit activity with your current Registrar? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
  2. What type of audit is it? (Surveillance, renewal, etc.) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\*(Please provide a copy of your current certificate with this survey)

1. Which of the standard(s) (most current edition) are you interested in being certified to (check all that apply)

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| --- |
| □ AS9100:2016 □ Design\* □ No Design (excludes 8.3 Design) |
| □ AS9100:2016 (combined certificate with ISO 9001:2015) □ Design\* □ No Design (excludes 8.3 Design) |
| □ AS9120:2016 **Note:** Organizations that perform work that affects or could affect product characteristics or conformity should use the AS9100 standard. |
| □ AS9120:2016 (combined certificate with ISO 9001:2015) □ Design\* □ No Design (excludes 8.3 Design) |
| □ ISO 9001 (separate certificate) □ Design\* □No Design (excludes 8.3 Design) □PED\*\* (Pressure Equipment Directive) |
| □ Other (specify): |
| \* Note: Design to customer specification is not applicable for purposes of this questionnaire.  \*\* PED requires the RvA accreditation mark (C.#7 below) on your ISO 9001 certificate. Visit the SRI website for additional information. |

1. We recommend a Pre-Assessment Audit by SRI. Do your plans include one? Yes □ No □
2. If yes, how many Pre-Assessment mandays do you want? \_\_\_\_\_\_\_\_\_\_\_ When? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Which accreditation mark(s) do you prefer [ANAB (Aerospace only), ANAB and/or RvA for ISO 9001]? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. **Registration Approach** –
5. Which of the following certification structures would you like proposed?

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| * □ **“Single Site”** - An organization that operates at one site or a grouping of sites in one geographic location and issued one certificate with one address. Stand-alone, self-supporting organization * No value stream dependencies from related companies or between buildings. * Operating under the same quality management system. * One address and/or multiple addresses with each performing the same manufacturing activity and/or different activities that do not function as a value chain (e.g. building one = wing assemblies, building two = wire harness assemblies) or all manufacturing is at one address, but supporting office functions are at a second address. *If the scope of certification for a “Single Site” certification structure contains more than one address, then all addresses must be on the certificate and the “single address” entered into OASIS would be the address on record as being contracted with SRI.* * If a value chain relationship exists between multiple buildings (i.e. WIP is moved between buildings), see Campus below. |
| * □ **“Multiple Sites”** - An organization having an identified central function (the central office, but not necessarily the headquarters of the organization) at which certain activities are planned, controlled, or managed and a network of sites, in more than one geographic area, at which such activities are fully or partially carried out. With the exception of the central office the processes within each of the sites are substantially the same and are operated to the same methods and procedures. One certificate is issued listing the central function and all sites including sub-scopes for each. All Sites shall have a legal or contractual link with the central office; * One quality management system with central control, management review, and internal audit. * Central office can require other sites implement corrective action. * Central collection and analysis of data, and with the ability to initiate organizational change. * Complies with IAF MD 1,"Multi-site Organization" definition and eligibility requirements. * All quality management system processes at all sites have to be substantially (i.e., 80%) the same and are operated to the same methods and procedures. * Some sites may conduct fewer processes than others. * One address per site. |
| * □ **“Campus”** - An organization having an identified central function (the central office, but not necessarily the headquarters of the organization) at which certain activities are planned, controlled, or managed; and that has a decentralized, sequential, linked product realization process. For the purposes of this standard, it is referred to as a value stream where the outputs from one site are an input to another site, which ultimately results in the final product or service. One certificate is issued listing one controlling address and scope. Each site within the campus will have an address and sub-scope activity that describes the manufacturing activities within each building. All sites shall have a legal or contractual link with the central office. * One quality management system with central control, management review, and internal audit. * Central office can require other sites implement corrective action. * Central collection and analysis of data, and with the ability to initiate organizational change. * The outputs from one site are an input to another site to realize the final product or service; a single value stream. * Can be dissimilar processes at different sites or combination of sites that contribute to the same overall product or service. * More than one product or service may be realized provided they are substantially (i.e., >80%) the same (e.g., a family of products) and realized through the same methods and procedures. * One address per campus. |
| * □ **“Several Sites”** - An organization having an identified central function (the central office, but not necessarily the headquarters of the organization) at which certain activities are planned, controlled, or managed and a network of sites, that do not meet the criteria for either a multiple site or a campus organization. One certificate is issued listing the central function and all sites. Certificate includes an overall scope statement and sub-scope statement for each site. All sites shall have a legal or contractual link with the central office. * One quality management system with central control, management review, and internal audit. * Central office can require other sites implement corrective action. * Central collection and analysis of data, with the ability to initiate organizational change. * Processes at each of the sites are not substantially similar (i.e., 80% similar). * Processes may be operated to the same or different methods and procedures that are controlled through one common quality management system. * Sites realize different products or services. * One address per site. |

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|  | **Location and Organization (Sites) including addresses for all buildings, etc.** | **No. of Employees (incl. temps)** | **\*1 & \*2 Products and/or Services** | **Standard** | **No. of Shifts** | **Shift Time(s)** |
| Site/  Central Location: |  |  |  |  |  |  |
| \*1 For Multi-Site Registration Approaches, each site must have a defined sub scope that describes the manufacturing activities (See item 6 below). \*2 For Campus Registration Approaches, each building within the Campus must have a defined sub scope that describes the manufacturing activities (See item 6 below). In those cases the “Products or Services” may be WIP): e.g. building one (product=castings), building two (product=machined castings), building three (product=finished assemblies). | | | | | | |
| Additional Site/Building: |  |  |  |  |  |  |
| Additional Site/Building: |  |  |  |  |  |  |

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| **Business** | **Organization Revenue** | | **Personnel Numbers** | | **Key Processes** | **Product** |
| **Revenue**  **(optional)** | **% of Total Revenue** | **Persons** | **% of Total Workforce** |
| **Aviation, Space, & Defense** |  |  |  |  |  |  |
| **Other:** |  |  |  |  |  |  |
| **Remote Support Location** | **Number of locations** | n/a |  | n/a |  |  |
| **Remote Support Location - Aviation, Space, & Defense:** |  | n/a |  | n/a |  |  |
| **Remote Support Location - Other:** |  | n/a |  | n/a |  |  |
| Total Employees: | | |  |  | |  |

1. Is there any exclusions from the scope of certification (i.e., location, product, service, 8.3 Design)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. If multiple sites, are your level 1 & 2 manuals (documentation) the same for all sites? Yes □ No □
3. Do you have a “**Remote**” support site where; contract review, design, and/or purchasing etc. are located? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Desired “scope of registration” for AS9100/AS9120 as it is to appear on the certificate of registration. If you selected “combined” certificate in B.2. above, then the AS9100/AS9120 and the ISO 9001 scope must be identical (technical and geographical, reference ICOP 155). If the scope for each standard is not the same, use number C.6. below for the ISO 9001 scope. You must consider the product and/or services in the scope when those processes, products, or services have an influence on the safety and quality of the product. *For Multi-Site or Campus Registration Approach, each site and or building of a campus must have its own sub scope.*

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1. Desired “scope of registration” for ISO 9001:2015 as it is to appear on the certificate of registration. You must consider the product and/or services in the scope when those processes, products, or services have an influence on the safety and quality of the product. *For Multi-Site or Campus Registration Approach, each site and or building of a campus must have its own sub scope.*

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1. Is any of the Product or Services within the desired scope of registration used as a Component or Part for Flight Worthiness, Flight Control Services, Engine Components, Structure, Safety Related, Navigation, Security, Combat Critical or Life Sustaining Purposes? Yes □ No □ If yes: What is the percent of Revenue? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ What is the percent of Product Mix? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. In the event that you register to AS9100 and/or want a PED certificate, an ISO 9001 supplemental certificate may be requested (required for PED). Do you require a supplemental certificate? Yes □ No □ If yes, which accreditation mark(s) do you choose (ANAB, RvA or both)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. What is your target date for registration? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Are you ITAR Responsible? Yes □ No □ Classified material or export control requirements related to SRI access, must be disclosed. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. SRI auditors may not be U.S. citizens. Does your organization restrict foreign national entry to its site(s)? Yes \_\_\_\_ No \_\_\_\_\_ Please describe these restrictions. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. **Other Management Systems**
7. Are you certified to other Management System Standards, e.g., ISO 9001, IATF 16949, ISO/IEC 27001? (Y/N) Which Standard(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If not, would you like to discuss other certifications with SRI? Yes \_\_\_\_ No \_\_\_\_\_

1. Have you developed an integrated system, by integrating AS9100 with another AS standard such as AS9120 or ISO 14001? Yes \_\_\_\_ No \_\_\_\_If yes, which Standards are integrated (complete lines 3 to 8 of this section D)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Does the manual include/meet all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
3. Do internal audits address requirements of all standards/conducted in a combined effort? Yes \_\_ No \_\_
4. Do management reviews address required inputs & outputs from all Standards? Yes \_\_\_\_ No \_\_\_\_\_
5. Are required procedures common to all Standards? (doc & record control, training) Yes \_\_\_ No \_\_\_\_\_
6. Is the corrective and preventive action system common to all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
7. Is the Management Rep the same for all Standards? Yes \_\_\_\_ No \_\_\_\_

If the answer to all the above is yes, your system is integrated, and SRI will quote accordingly. Depending on the systems that are integrated, other information may be required. SRI will advise.

1. **For Our Information**
2. Does your company belong to any professional industry organization(s)? Yes \_\_\_\_ No \_\_\_\_\_
   1. If yes, what association(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. How did you hear about SRI? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Do you outsource any of the processes of your management system? This includes both production and non-production processes. Yes\_\_\_\_\_No\_\_\_\_\_ If yes, indicate the processes outsourced:  
    \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Do you use a management system consultant? Yes\_\_\_\_\_No\_\_\_\_\_ If yes, indicate the name of your consultant: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Do you have any relevant legal obligations, that are planned for and executed through your management system? Yes\_\_\_\_\_No\_\_\_\_\_ If Yes, list them here: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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This survey is provided to assist SRI Quality System Registrar in defining the scope of registration and preparing a cost proposal. The receipt of this survey by SRI does not acknowledge our acceptance and/or approval of any aspect of possible registration.

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| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Respondent’s Signature |  | Title |  | Date |

*Thank you for completing this survey for a cost proposal. Please return to SRI via e-mail.*

**SRI Quality System Registrar, A PRI Company**

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