

R20.4: SRI Registration Agreement

SRI, an accredited registrar, has conducted an assessment of the Registrant (Client), and has determined that the Registrant has satisfied the criteria for registration; therefore SRI and the Registrant enter into this Registration Agreement.

Registration Agreement

In consideration of the Recital and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the parties agree as follows:

Article 1 Scope of Registration

- 1.1 Both parties agree that the following information to be presented on the Certificate of Registration represents the term and scope of the registration.

This agreement is dated as of

Date

by and between SRI Quality System Registrar, having its registered office at

300 Northpointe Circle, Seven Fields, Pennsylvania, USA,

hereinafter called SRI, and

Company Name

having its certificated site located at

Address, City, State, Country,

hereinafter called registrant.

Company/Division: **Company Name, Address, City, State, Country**

Standard:

Exclusions: **Product Design and Development; Validation of Processes for Production and Service Provision.**

IAF Code:

Scope: **SCOPE**

SRI Registration No.: **XXXX-XX**

Initial Registration Date: **DATE.**

Current Registration **DATE** through **DATE.**

Period: (If applicable, this Agreement, R20.4, replaces and supersedes any and all previously-issued Agreements for the standard listed.)

Registry: SRI

Authorized Marks: SRI Registration Mark (SRI)
ANAB Accreditation Mark (ANAB)
Raad voor Accreditatie Accreditation Mark (Dutch Accreditation Council RvA)
International Automotive Task Force Registration Mark (IATF)
ResponsibleSteel

- 1.2 After issuance of the Certificate of Registration, registrant may make changes to the term or scope information in Article 1.1 at any time and have the Certificate of Registration changed for a fee. All change requests must be submitted to SRI in writing and meet all registration requirements of SRI, the standard, and the accreditation bodies. Prior to re-issuing a Certificate of Registration with any changes, Article 1.1 shall be updated, initialed by both parties, and amended to this agreement.

Article 2 The Applicable SRI Documents

- 2.1 The following applicable registration procedure documents are incorporated herein by reference: SRI Registration Procedures (QP 4.0, 5.0, 6.0, 7.0, 8.0, and, as applicable QP 17.0 and QP19.0); SRI Application and Cost Proposal Terms and Conditions (R20.3); Method for Handling “Suspect” Compliance to Laws or Regulations observed during a Conformance Audit (R20.119); AS9100/AS9120 Aerospace Standard Supplement (R20.104); ISO/IEC 27001 Information Security Management Systems Supplement (R20.111); ISO/IEC 20000-1 Information Technology Supplement (R20.112), ISO 28000 Supply Chain Security Management Supplement (R20.109), ResponsibleSteel Supplement (R20.115RS) as applicable; the cited Standard; and those documents referenced in the foregoing documents.

Article 3 General Rights and Obligations

- 3.1 The registrant is responsible to see that the functioning of the registrant and of every registered management system continually meet the accredited SRI criteria for registration and the requirements of the applicable accreditation body and standard.
- 3.2 SRI grants the registrant the right, for the duration of the agreement and subject to the obligation described in 3.1, to apply the SRI registration mark as well as the words "[specify standard] Registered by SRI Quality System Registrar" or "Registered to [specify standard] by SRI Quality System Registrar") on all documents which relate to the registered management system and to apply the SRI registration mark only on those supplier documents that relate to the registered management system, and not on a product or any other materials which could imply product certification.
- 3.3 The organization agrees to comply with any published ResponsibleSteel logo/ claims use rules and guidance; verification of use is subject to review by SRI during scheduled audit activities.
- 3.4 The registrant shall notify SRI immediately of all material changes in the registrant's management system which relate to the SRI assessment of the registrant based on the Criteria for Registration and the requirements of the standard. Failure to notify SRI of material changes or to knowingly misrepresent information provided may result in delisting. For IATF 16949 registrants, refer to “Rules for achieving and maintaining IATF recognition, 5th Edition” sections 3.2, 6.1 and 8.1.b for specific situations requiring notification. For OHS clients, provide information on incidents such as a serious accident, or a serious breach of regulation necessitating the involvement of the competent regulatory authority.

Article 4 Surveillance and Re-assessment

- 4.1 SRI shall conduct regular surveillance assessments to confirm continued conformance to the requirements of the applicable standard and SRI Registration Procedures in accordance with this Agreement, the Application and Cost Proposal, and R20.3 Terms and Conditions. For IATF 16949 registrants, the organization shall provide SRI with all requested audit planning information (i.e., R20.102S) at least 10 weeks prior to the scheduled event, refer to “Rules for achieving and maintaining IATF recognition, 5th Edition” section 5.7.1 and, if applicable, 5.5 Option 2: 1) to 5). In addition, any information related to transition events, shall be provided per transition rules and SRI directions.

Article 5 Complaints

- 5.1 The registrant shall deal with, record and report to SRI all complaints about the registered management system. Where applicable, the registrant shall appropriately address all discovered non-compliance with legal and regulatory requirements.

Article 6 Publicity

- 6.1 The registrant is free to publish for the duration of the agreement that it has the right to use the SRI registration mark for the management system stated on the Certificate of Registration. The SRI Registration Procedures contain further provisions to this effect which are incorporated herein by reference.
- 6.2 The registrant shall, at first notification by SRI, withdraw or rectify to the satisfaction of SRI misleading or incorrect behavior, notifications or publications with regard to the registration.
- 6.3 SRI grants the registrant the right to publish for the duration of the agreement any certificate of registration with any schedules thereto, and the final reports, but only the entire report, issued by SRI.

Article 7 Termination

- 7.1 This Agreement may be terminated by either party upon prior written notice to the other party along with termination of Registration and the Application and Cost Proposal in accordance with the conditions for termination contained in the R20.3 Terms and Conditions.
- 7.2 If SRI is required by the applicable accreditation body to modify this Registration Agreement, SRI shall notify the registrant in writing of the required modifications, and thereafter such modifications shall be incorporated into and made a part of this Agreement unless the Registrant elects to terminate this Agreement pursuant to sub-article 7.1 of this Article 7.

Article 8 Changes to the Registration Criteria

8.1 If the Registration criteria are changed by the accreditation body, SRI, or as a result of changes to the applicable standard, refer to the conditions for termination contained in the R20.3 Terms and Conditions and QP 7 of the Registration Procedures in Article 2.1 of this Agreement.

Article 9 Duration of the Agreement

9.1 The agreement shall apply while the registrant is registered with SRI, and shall continue until either a subsequent Registration Agreement is signed or registrant terminates its Registration with SRI, per Article 7.

Thus drawn up in duplicate and signed:

For the Registrant,

Company Name:

For the Registrar,

SRI Quality System Registrar:

(signature)

(signature)

(Name)

Christopher H. Lake

(Title, Position)

President and COO

(Date)

(Date)