**R20.28SCSMS SRI Registration Survey for**

**ISO 28000 Security Management**

**Systems for the Supply Chain**

This survey is to be used to obtain a cost proposal for ISO 28000:2007 *Security Management Systems for the Supply Chain* registration services by SRI.

1. **Company Information**
   1. Company Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
   2. Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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* 1. Proposal to be issued to: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Same address as above? Yes  No  If No, please provide mailing address.

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E-mail Address: Web Site Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone: Fax: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Primary language(s) spoken other than English \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Complete the following questions to obtain a cost proposal**

**Registration Approach\*1** - Which of the following audit approaches would you like proposed?

|  |  |
| --- | --- |
|  | “**Site**” (a stand alone location **OR** multiple locations in close proximity to one another, operating and addressing all |
|  | requirements of the standard collectively, and issued one certificate) |
|  | **Multi-site**” (an organization with multiple sites conducting similar operations, similar scopes, operating “under the same |
|  | management manual and centrally managed. Issued one certificate listing all locations.) |
|  | “**Corporate**\*” (all sites, regardless of proximity, are audited collectively and are under one certificate. If the scope/ |
|  | product/processes differ, separate scopes will be required.) |
|  | “**Progressive**\*” (each site audited separately then added to the corporate certificate, when registered. If the scope/ |
|  | product/processes differ, separate scopes will be required. )  \*1 Site sampling is not allowed for this standard per ISO 28003, clause 9.1.7. |

**Please provide the requested information for each site in the following table:\***

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
| **Location and Organization (Sites)**  **including addresses for all buildings, etc.** | **#**  **Shifts / times** | **# Employees, (incl. temps& contractors in scope\*1)** | **List Specific Supply Chain Management System Industry/**  **Contractual Requirements**  **(ex: Maritime, Port Security, Security Plan, Intermodal Transportation, etc.)** | **Products and/or Services** |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |
|  |  |  |  |  |

**\*Attach additional page(s) if more space is necessary.**

\*1 Manages the SCSMS and/or manages/executes controls identified by ISO 28000 and Specific Supply Chain Security Management System requirements/specifications.

\*2 May fulfill selected requirements from ISO/IEC 27001:2013 (e.g., awareness of security policy, contribution to the effectiveness of the SCSMS) AND requirements subject to “best practice” controls in ISO/IEC 27001:2013, Annex A (e.g. secure log on procedures, operating procedures, and physical security controls).

1. Are there any exclusions from the scope of certification (i.e., location, product, service)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Are there a large number of remote organization users? \_\_\_\_\_\_ Are the systems used 24/7? \_\_\_\_\_\_\_
3. Describe the required level of supply chain security. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Describe the number and nature of upstream (customer) and downstream (supplier/vendor/intermodal transportation) supply chain partners. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Estimate the amount of electronic transactions (as a %) from external sources. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Are SCSMS services centralized? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_If no, how many locations?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. How long has the SCSMS been in place? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Are there any additional requirements outside the standard (legal, regulatory, contractual) that apply under the scope of the ISO 28000 certification? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. Is the design of security related items of equipment/systems done by the organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Has a risk assessment been completed? \_\_\_\_\_\_\_\_\_\_\_ Date \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ TBD:\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Will more than one language (English) be spoken during the audit? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Desired "scope of registration" as it is to appear on the certificate of registration. You must consider the product and/or services in the scope when those processes, products, or services have influence.

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1. What is your target date for registration? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Are you ITAR responsible? Yes \_\_\_\_ No \_\_\_\_\_ Classified material or export control requirements related to SRI access, must be disclosed. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. SRI auditors undergo background investigations and are provided identification badges, but may not be U.S. Government cleared and/or U.S. citizens. Does your organization restrict uncleared or foreign national entry to its site(s)? Yes \_\_\_\_ No \_\_\_\_\_ Please describe these restrictions. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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1. **Other Management Systems**
2. Are you certified to other Management System Standards, e.g., ISO 9001, ISO 14001, ISO/IEC 20000-1? (Y/N) Which Standard(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

If not, would you like to discuss other certifications with SRI? Yes \_\_\_\_ No \_\_\_\_\_

1. Have you developed an integrated system*?* Yes \_\_\_\_ No \_\_\_\_

Which Standards are integrated? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* Does the manual include/meet all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
* Do internal audits address requirements of all standards/conducted in a combined effort? Yes \_\_ No\_\_\_
* Do management reviews address required inputs & outputs from all Standards? Yes \_\_\_\_ No \_\_\_\_\_
* Are required procedures common to all Standards? (doc & record control, training) Yes \_\_\_ No \_\_\_
* Is the corrective and preventive action system common to all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
* Is the Management Rep the same for all Standards? Yes \_\_\_\_ No \_\_\_\_\_

If the answer to all the above is yes, your system is integrated, and SRI will quote accordingly. Depending on the systems that are integrated, other information may be required. SRI will advise.

1. **For Our Information**
2. Does your company belong to any professional industry organization(s)? Yes  No 
   1. If yes, what association(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. How did you hear about SRI? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Indicate any processes outsourced. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Indicate the name of your Consultant. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

This survey is provided to assist SRI Quality System Registrar in defining the scope of registration and preparing a cost proposal. The receipt of this survey by SRI does not acknowledge our acceptance and/or approval of any aspect of possible registration.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Respondent’s Signature Title Date

*Thank you for completing this survey for a cost proposal. Please return to SRI via mail, e-mail, or facsimile.*

**SRI Quality System Registrar**

**300 Northpointe Circle, Suite 304, Seven Fields, PA 16046**

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