**R20.28 SRI REGISTRATION SURVEY**

This survey is to be used to obtain a cost proposal for management system registration services by SRI.

1. **Company Information**
2. Company Name: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. Proposal to be issued to: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Same address as above? Yes \_\_\_\_ No \_\_\_\_\_ If No, please provide mailing address.

\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

E-mail Address: Web site address: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

Telephone: Fax: \_

1. List the organization’s primary IAF Code: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Primary language(s) spoken other than English \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Total number of employees, including temps, at site(s) to be registered: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Is your company a subsidiary or division of another organization? Yes \_\_\_\_ No \_\_\_\_\_
5. If yes, what organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Relationship? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
7. Is SRI the registrar for the related organization? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
8. Describe your business: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
9. Describe your main production / services processes (e.g. stamping, welding, machining, project management): \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
10. **Standard/Scheduling**
11. \_\_\_ No, we are not currently registered to a management standard.

\_\_\_\_ Yes, we are currently registered to the following standard (\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_) and would like to consider transferring to SRI. Our current Registrar is: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

* 1. When is the next scheduled audit activity with your current Registrar? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
	2. What type of audit is it? (Surveillance, renewal, etc.) \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
	3. Please provide a copy of your current certificate with this completed survey
1. Which of the standard(s) (most current edition, refer to deadline dates for transitioning standards) are you interested in being certified to (check all that apply)

|  |
| --- |
| ISO 9001:2015 Design\*  No Design  PED\*\* (Pressure Equipment Directive) |
|  IATF 16949:2016\*\*\*  Design\*  No Design (SRI’s R20.102 IATF 16949 Supplier Information will be forwarded.) |
|  | Provide your link to the automotive chain. Who do you supply in the automotive industry: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_If applicable, please justify why you are NOT Design responsible:\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_ |
|  ISO 13485:2016  Design\*  No Design |  ISO/IEC 20000-1\*\*\* |
|  AS9100:2016\*\*\* (aerospace)  |  AS9120:2016\*\*\* |
|  ISO 14001:2015 EMS\*\*\*  |  Responsible Care® Management System (RCMS:2019)\*\*\* |
|  ISO/IEC 27001\*\*\* |  ISO 45001:2018 (replacing OHS and Z10) |
|  Other (specify): |  |
| \*Note: Design to customer specification is not applicable for purposes of this questionnaire.\*\* PED requires the RvA accreditation mark (#4 below) on your ISO 9001 certificate. Visit the SRI website for additional information.\*\*\* SRI’s standard specific survey will be forwarded for completion.  |
| *Deadline dates, related to transition, for applicable standards.** + *For ISO 45001, all initial audits to 2018 version is required after 09/30/2019*
	+ *For all ISO/IEC 20000-1 audits is 03/31/2020*
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1. We recommend a Pre-Assessment Audit by SRI. Do your plans include one? Yes \_\_\_\_ No \_\_\_\_\_
2. If yes, how many Pre-Assessment mandays do you want? \_\_\_\_\_\_\_\_\_\_\_ When? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Which accreditation mark(s) do you prefer [ANAB, RvA, IATF, and/or other (specify)]? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. **Registration Approach** - \*IATF rules require that a separate certificate be issued to all value-added sites.
5. Which of the following audit approaches would you like proposed?

|  |  |
| --- | --- |
|  | “**Site**” (a stand alone location **OR** multiple locations in close proximity to one another, operating and addressing all |
|  | requirements of the standard collectively, and issued one certificate) |
|  | **Multi-site**” (an organization with multiple sites conducting similar operations, similar scopes, operating “under the |
|  | same management manual and centrally managed. Issued one certificate listing all locations.)   |
|  | “**Corporate**\*” (all sites, regardless of proximity, are audited collectively and are under one certificate. If the scope/ |
|  | product/processes differ, separate scopes will be required.) |
|  | “**Progressive**\*” (each site audited separately then added to the corporate certificate, when registered. If the scope/ |
|  | product/processes differ, separate scopes will be required. )  |

Please complete the following table identifying the site(s) to be registered. Please specify the Standard and the products and/or services to be registered at each site (add attachments if additional space is needed) **Note**: If the site has different addresses for buildings (located on property), landfills, etc. indicate such below:

|  |  |  |  |  |  |  |
| --- | --- | --- | --- | --- | --- | --- |
|  | **Location and Organization (Sites) including addresses for all buildings, etc.** | **No. of Employees (incl. temps)** | **Products and/or Services** | **Standard** | **No. of Shifts** | **Shift Time(s)** |
| Site/Head-quarters: |  |  |  |  |  |  |
| Additional Site: |  |  |  |  |  |  |
| Additional Site: |  |  |  |  |  |  |
| Additional Site: |  |  |  |  |  |  |

1. Are there any exclusions from the scope of certification [i.e., location, product, service, design] **NOTE**: exclusions are not allowed for ISO 9001:2015?\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. If Corporate, are your level 1 & 2 manuals (documentation) the same for all sites? Yes \_\_\_\_ No \_\_\_\_\_
3. Do you have a "Remote" support site(s), where contract review, design, and/or purchasing etc., is located? Yes \_\_\_\_ No \_\_\_\_\_ If yes, indicate address and processes performed. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Desired "scope of registration" as it is to appear on the certificate of registration. You must consider the product and/or services in the scope when those processes, products, or services have an influence on the safety and quality of the product.

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1. In the event that you register to an industry specific standard (such as AS9100, ISO 13485, IATF 16949) and/or want a PED certificate, an ISO 9001 supplemental certificate may be requested (required for PED\*\*). Do you require a supplemental certificate? Yes \_\_\_\_\_ No \_\_\_\_\_ If yes, which accreditation mark(s) do you prefer (ANAB, RvA or both)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. What is your target date for registration? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. Are you ITAR responsible? Yes \_\_\_\_ No \_\_\_\_\_ Classified material or export control requirements related to SRI access, must be disclosed. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. SRI auditors may not be U.S. citizens. Does your organization restrict foreign national entry to its site(s)?

Yes \_\_\_\_ No \_\_\_\_\_ Please describe these restrictions. \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

1. **Other Management Systems**
2. Are you certified to other Management System Standards, e.g., ISO 9001, AS9100, ISO/IEC 27001?

Yes \_\_\_\_ No \_\_\_\_\_ If yes, which Standard(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

 If not, would you like to discuss other certifications with SRI? Yes \_\_\_\_ No \_\_\_\_\_

1. Have you developed an integrated system? Yes \_\_\_\_ No \_\_\_\_ If yes, which Standards are integrated (complete lines 3 to 8 of this section D)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
2. Does the manual include/meet all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
3. Do internal audits address requirements of all standards/conducted in a combined effort? Yes \_\_ No \_\_\_\_\_
4. Do management reviews address required inputs & outputs from all Standards? Yes \_\_\_\_ No \_\_\_\_\_
5. Are the required procedures common to all Standards? (doc & record control, training) Yes \_\_\_\_ No \_\_\_\_\_
6. Is the corrective and preventive action system common to all cited Standards? Yes \_\_\_\_ No \_\_\_\_\_
7. Is the Management Rep the same for all Standards? Yes \_\_\_\_ No \_\_\_\_\_

If the answer to all the above is yes, your system is integrated, and SRI will quote accordingly. Depending on the systems that are integrated, other information may be required. SRI will advise.

1. **For Our Information**
2. Does your company belong to any professional industry organization(s)? Yes \_\_\_\_ No \_\_\_\_\_
	1. If yes, what association(s)? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
3. How did you hear about SRI? \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
4. Do you outsource any of the processes of your management system? This includes both production and non-production processes. Yes\_\_\_\_\_No\_\_\_\_\_ If yes, indicate the processes outsourced:
 \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
5. Do you use a management system consultant? Yes\_\_\_\_\_No\_\_\_\_\_ If yes, indicate the name of your consultant: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_
6. Do you have any relevant legal obligations, that are planned for and executed through your management system? Yes\_\_\_\_\_No\_\_\_\_\_ If Yes, list them here: \_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_\_

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This survey is provided to assist SRI Quality System Registrar in defining the scope of registration and preparing a cost proposal. The receipt of this survey by SRI does not acknowledge our acceptance and/or approval of any aspect of possible registration.

|  |  |  |  |  |
| --- | --- | --- | --- | --- |
|  |  |  |  |  |
| Respondent’s Signature  |  | Title |  | Date |

*Thank you for completing this survey for a cost proposal. Please return to SRI via mail, e-mail, or facsimile.*

**SRI Quality System Registrar**

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